

FISCAL YEAR 2007, Quarter Ending 9/30/06

CHARGES, FINDINGS, CCA WITH VIOLATION, AND CCA WITHOUT VIOLATION*

FINAL DISPOSITION

| | | | | | CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION | CONFIDENTIAL CONSENT AGREEMENT WITHOUT VIOLATION | TOTAL # of ALLEGATIONS |
|-------------------------------------|--|--|--------------|-----------|--|--|---------------------------|
| BOARD | OCCUPATION | CATEGORY OF ALLEGATION | NO VIOLATION | VIOLATION | UNDETER- MINED | | |
| Counseling | Licensed Marriage and Family Therapist | Cont'd Competency Req.-not met | | 1 | | | 1 |
| | Licensed Professional Counselor | Abuse | | | 1 | | 1 |
| | | Cont'd Competency Req.-not met | | 3 | | | 3 |
| | | Criminal Activity/Conviction | | 1 | | | 1 |
| | | Standard of Care-Treatment Related Supervision-Neglect | 1 | | | | 1 |
| Rehabilitation Provider | Fraud | | 1 | | | 1 | |
| Counseling Total | | | 2 | 6 | 1 | | 9 |
| Dentistry | Dental Assistant | Unlicensed Activity | | | 1 | | 1 |
| | Dental Hygienist | Compliance-To Board | 1 | | | | 1 |
| | | Inability Safely Prac-Impairment | | 1 | | | 1 |
| | | Standard of Care-Treatment Related | | | 1 | | 1 |
| | Dentist | Abandonment | 1 | | | | 1 |
| | | Abuse | 2 | | | | 2 |
| | | Advertising-deceptive/misleading | 6 | | | | 6 |
| | | Business Practices/Issues | 9 | 2 | 7 | | 18 |
| | | Compliance-To Board | | 2 | 1 | | 3 |
| | | Drug Related-Personal Use | | 1 | | | 1 |
| | | Fraud | 5 | | 2 | | 7 |
| | | HPIP Dismissal | | | 1 | | 1 |
| | | Licensure Eligibility | | | 1 | | 1 |
| | | Records Release | 1 | | 2 | | 3 |
| | | Records/Inspections/Audits | | | 1 | | 1 |
| | | Standard of Care-Consent Related | 1 | 1 | | | 2 |
| | | Standard of Care-Diagnosis Related | 2 | | 2 | | 4 |
| | | Standard of Care-Malpractice Reports | | | 1 | | 1 |
| | | Standard of Care-Med/Prescrip Related | | 1 | | | 1 |
| | | Standard of Care-Surgery Related | | 1 | | | 1 |
| | | Standard of Care-Treatment Related | 18 | 5 | 10 | | 33 |
| | | Unlicensed Activity | 2 | 2 | 2 | | 6 |
| Dentistry Total | | | 48 | 16 | 32 | | 96 |
| Funeral Directing | Funeral Establishment | Business Practices/Issues | 1 | | | | 1 |
| | | Dishonored Check | | 1 | | | 1 |
| | Funeral Service Provider | Business Practices/Issues | | 1 | 1 | | 2 |
| | Funeral Trainee | Business Practices/Issues | | | 1 | | 1 |
| | | Criminal Activity/Conviction | | | 1 | | 1 |
| | | Fraud | | | 1 | | 1 |
| Licensure Eligibility | | | 1 | | | 1 | |
| Unlicensed Activity | | | 1 | | 1 | | |
| Funeral Directing Total | | | 1 | 3 | 5 | | 9 |
| Long-Term Care Administrators | Nursing Home Administrator | Business Practices/Issues | | | 1 | | 1 |
| | | Compliance-To Board | | | 1 | | 1 |
| | | Other | 1 | | | | 1 |
| | | Records/Inspections/Audits | 1 | | 1 | | 2 |
| Long-Term Care Administrators Total | | | 2 | | 3 | | 5 |
| Medicine | Athletic Trainer | Licensure Eligibility | 1 | 1 | | | 2 |
| | | Unlicensed Activity | 1 | 1 | | | 2 |

FINAL DISPOSITION

| BOARD | OCCUPATION | CATEGORY OF ALLEGATION | NO VIOLATION | VIOLATION | UNDETER- MINED | CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION | CONFIDENTIAL CONSENT AGREEMENT WITHOUT VIOLATION | TOTAL # of ALLEGATIONS |
|----------|------------------------|---------------------------------------|--------------|-----------|-------------------|--|--|---------------------------|
| Medicine | Chiropractor | Abuse | | | 1 | | | 1 |
| | | Advertising-deceptive/misleading | 2 | | 2 | | | 4 |
| | | Business Practices/Issues | 3 | | 1 | | | 4 |
| | | Compliance-To Board | 1 | | | | | 1 |
| | | Unlicensed Activity | | | 1 | | | 1 |
| | Interns & Residents | Action by Another Board/Entity | | | 2 | | | 2 |
| | | Criminal Activity/Conviction | | | 1 | | | 1 |
| | | Drug Related-Excessive Rx/Dispensing | | | | 1 | | 1 |
| | | Fraud | | | 1 | | | 1 |
| | | Standard of Care-Med/Prescrip Related | | | | 1 | | 1 |
| | Licensed Acupuncturist | Standard of Care-Treatment Related | | 1 | | 1 | | 2 |
| | | Unlicensed Activity | | 1 | | | | 1 |
| | Medicine & Surgery | Abandonment | 2 | | 2 | | | 4 |
| | | Abuse | 1 | 2 | 5 | 3 | | 11 |
| | | Action by Another Board/Entity | 2 | 5 | 10 | | | 17 |
| | | Advertising-deceptive/misleading | 1 | | 5 | | | 6 |
| | | Business Practices/Issues | 21 | | 3 | | | 24 |
| | | Compliance-To Board | 6 | 1 | | | | 7 |
| | | Confidentiality-Breach | 1 | | | | | 1 |
| | | Criminal Activity/Conviction | | 3 | 1 | | | 4 |
| | | Drug Related-Excessive Rx/Dispensing | | 11 | 3 | | | 14 |
| | | Drug Related-Obtaining Drugs by Fraud | 1 | | | | | 1 |
| | | Drug Related-Other | | 1 | | | | 1 |
| | | Drug Related-Personal Use | | | 1 | | | 1 |
| | | Fraud | 7 | 1 | 7 | 1 | | 16 |
| | | HPIP Dismissal | | 1 | | | | 1 |
| | | Inability Safely Prac-Impairment | | 1 | 2 | | | 3 |
| | | Inability Safely Prac-Incapacitated | | | 3 | | | 3 |
| | | Licensure Eligibility | 4 | | 2 | | | 6 |
| | | Neglect | 4 | 1 | 2 | | | 7 |
| | | Other | 1 | | 1 | | | 2 |
| | | Prescription Blanks | | | 1 | | | 1 |
| | | Records Release | 1 | | 1 | 1 | | 3 |
| | | Records/Inspections/Audits | 1 | | | | | 1 |
| | | Reinstatement | | | 1 | | | 1 |
| | | Relationship-Inappropriate | | 1 | | | | 1 |
| | | Required Report Not Filed | 2 | | 12 | 64 | | 78 |
| | | Self-Referral of Patients | | | 1 | | | 1 |
| | | Standard of Care-Consent Related | 2 | | 1 | | | 3 |
| | | Standard of Care-Diagnosis Related | 13 | 2 | 7 | | | 22 |
| | | Standard of Care-Equip/Prod Related | | | 1 | | | 1 |
| | | Standard of Care-Malpractice Reports | 4 | 2 | 15 | | | 21 |
| | | Standard of Care-Med/Prescrip Related | 6 | | 7 | 1 | | 14 |
| | | Standard of Care-Other | 13 | | 4 | | | 17 |
| | | Standard of Care-Surgery Related | 11 | | 13 | 1 | | 25 |
| | | Standard of Care-Treatment Related | 42 | 3 | 36 | 1 | | 82 |
| | | Unlicensed Activity | 2 | | 5 | 1 | | 8 |
| | Osteopathy & Surgery | Advertising-deceptive/misleading | 1 | | | | | 1 |
| | | Required Report Not Filed | | | | 4 | | 4 |
| | | Standard of Care-Other | 3 | | | | | 3 |
| | | Standard of Care-Treatment Related | 1 | | | | | 1 |
| | Physician Assistant | Action by Another Board/Entity | | | | 1 | | 1 |
| | | Compliance-To Board | 1 | | | | | 1 |
| | | Drug Related-Excessive Rx/Dispensing | | | | 1 | | 1 |
| | | Other | | | 1 | | | 1 |
| | | Reinstatement | 1 | | | | | 1 |

FINAL DISPOSITION

| BOARD | OCCUPATION | CATEGORY OF ALLEGATION | NO VIOLATION | VIOLATION | UNDETER- MINED | CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION | CONFIDENTIAL CONSENT AGREEMENT WITHOUT VIOLATION | TOTAL # of ALLEGATIONS |
|---------------------------------------|------------------------------------|---------------------------------------|--------------|-----------|-------------------|--|--|---------------------------|
| Medicine | Physician Assistant | Standard of Care-Med/Prescrip Related | | | 1 | 1 | | 2 |
| | | Standard of Care-Treatment Related | 1 | | | | | 1 |
| | | Unlicensed Activity | | | 1 | 1 | | 2 |
| | Podiatry | Business Practices/Issues | 1 | | 1 | | | 2 |
| | | Required Report Not Filed | | | | 2 | | 2 |
| | | Standard of Care-Consent Related | 1 | | | | | 1 |
| | | Standard of Care-Treatment Related | 2 | | | | | 2 |
| | Radiologic Technologist | Licensure Eligibility | | 3 | 1 | | | 4 |
| | | Unlicensed Activity | | 4 | 1 | | | 5 |
| | Practitioner | Abuse | | 1 | | | | 1 |
| | | Action by Another Board/Entity | | 1 | | | | 1 |
| | | Drug Related-Obtaining Drugs by Fraud | | 1 | | | | 1 |
| | | Fraud | 1 | | | | | 1 |
| | | HPIP Dismissal | | 1 | | | | 1 |
| | | Inability Safely Prac-Incapacitated | 1 | | | | | 1 |
| Standard of Care-Med/Prescrip Related | | 1 | | | | | 1 | |
| Unlicensed Activity | | | 1 | | | | 1 | |
| University Limited License | Standard of Care-Treatment Related | | | 1 | | | 1 | |
| Medicine Total | | | 171 | 51 | 168 | 86 | | 476 |
| Nurse Aide | Nurse Aide | Abandonment | | 2 | 2 | | | 4 |
| | | Abuse | 19 | 12 | 4 | | 1 | 36 |
| | | Business Practices/Issues | 1 | | | | | 1 |
| | | Compliance-To Board | | 2 | 1 | | | 3 |
| | | Confidentiality-Breach | | | 1 | | | 1 |
| | | Criminal Activity/Conviction | | 14 | 1 | | | 15 |
| | | Dishonored Check | | 5 | | | | 5 |
| | | Drug Related-Obtaining Drugs by Fraud | | 3 | | | | 3 |
| | | Drug Related-Personal Use | 1 | 2 | 2 | | | 5 |
| | | Fraud | 3 | 8 | | | | 11 |
| | | HPIP Dismissal | | 1 | 1 | | | 2 |
| | | Inability Safely Prac-Impairment | | 2 | 1 | | | 3 |
| | | Inability Safely Prac-Incapacitated | | | 1 | | | 1 |
| | | Licensure Eligibility | | 5 | | | | 5 |
| | | Misappropriation of Property | 2 | 1 | 1 | | | 4 |
| | | Neglect | 11 | 3 | 1 | | 2 | 17 |
| | | Other | 2 | | 1 | | | 3 |
| | | Reinstatement | | 8 | | | | 8 |
| | | Standard of Care-Treatment Related | 14 | | 2 | | | 16 |
| | | Unlicensed Activity | | | | 1 | | 1 |
| Nurse Aide Total | | | 53 | 68 | 19 | 1 | 3 | 144 |
| Nursing | Certified Massage Therapist | Abuse | 1 | | | | | 1 |
| | | Business Practices/Issues | 1 | | | | | 1 |
| | | Unlicensed Activity | 2 | 1 | | | | 3 |
| | Clinical Nurse Specialist | Standard of Care-Consent Related | 1 | | | | | 1 |
| | | Standard of Care-Treatment Related | 1 | | | | | 1 |
| | Licensed Nurse Practitioner | Abuse | 2 | | | | | 2 |
| | | Compliance-To Board | | | 2 | | | 2 |
| | | Drug Related-Obtaining Drugs by Fraud | 1 | | | | | 1 |
| | | Fraud | 1 | | | | | 1 |
| | | Prescription Blanks | 1 | | | | | 1 |
| | | Standard of Care-Diagnosis Related | | 1 | | | | 1 |
| | | Standard of Care-Malpractice Reports | 1 | 1 | | | | 2 |
| | | Standard of Care-Med/Prescrip Related | | 1 | | 1 | | 2 |
| | | Standard of Care-Treatment Related | 3 | 1 | | | | 4 |
| | Unlicensed Activity | 2 | | | | | 2 | |
| Licensed Practical Nurse | Abandonment | 1 | | | 1 | | 2 | |

FINAL DISPOSITION

| | | | | | CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION | CONFIDENTIAL CONSENT AGREEMENT WITHOUT VIOLATION | TOTAL # of ALLEGATIONS | |
|-----------------|---------------------------------|---------------------------------------|--------------|-----------|--|--|---------------------------|-----|
| BOARD | OCCUPATION | CATEGORY OF ALLEGATION | NO VIOLATION | VIOLATION | UNDETER- MINED | | | |
| Nursing | Licensed Practical Nurse | Abuse | 2 | | | 1 | 3 | |
| | | Action by Another Board/Entity | | | 2 | | 2 | |
| | | Business Practices/Issues | 1 | | | | | 1 |
| | | Compliance-To Board | 1 | 8 | 5 | | | 14 |
| | | Confidentiality-Breach | 2 | | | | | 2 |
| | | Criminal Activity/Conviction | | 3 | 1 | | | 4 |
| | | Dishonored Check | | 2 | | | | 2 |
| | | Drug Related-Obtaining Drugs by Fraud | 5 | 4 | 2 | | | 11 |
| | | Drug Related-Personal Use | 2 | 3 | 2 | | | 7 |
| | | Fraud | 3 | 6 | | | | 9 |
| | | HPIP Dismissal | | 3 | | | | 3 |
| | | Inability Safely Prac-Impairment | 1 | 2 | | | | 3 |
| | | Inability Safely Prac-Incapacitated | 2 | 1 | | | | 3 |
| | | Licensure Eligibility | | 2 | | | | 2 |
| | | Misappropriation of Property | 1 | | | | | 1 |
| | | Neglect | 10 | 6 | | | 1 | 17 |
| | | Reinstatement | | 4 | | | | 4 |
| | | Standard of Care-Med/Prescrip Related | 4 | 6 | | | | 10 |
| | | Standard of Care-Other | 1 | | | | | 1 |
| | | Standard of Care-Treatment Related | 9 | 8 | 1 | 1 | 1 | 20 |
| | | Supervision-Neglect | 1 | | 1 | | | 2 |
| | | Unlicensed Activity | 2 | | 2 | | | 4 |
| | Registered Nurse | Abandonment | | 1 | | 1 | | 2 |
| | | Abuse | | 3 | 2 | | | 5 |
| | | Action by Another Board/Entity | | 5 | 3 | 7 | | 15 |
| | | Business Practices/Issues | | 2 | | 1 | | 3 |
| | | Compliance-To Board | | 2 | 4 | 4 | | 10 |
| | | Confidentiality-Breach | | | 1 | | | 1 |
| | | Criminal Activity/Conviction | | 1 | 2 | | | 3 |
| | | Drug Related-Obtaining Drugs by Fraud | | 4 | 4 | 7 | | 15 |
| | | Drug Related-Personal Use | | | 3 | 4 | | 7 |
| | | Fraud | | 7 | 6 | 1 | 1 | 15 |
| | | HPIP Dismissal | | | 3 | 3 | | 6 |
| | | Inability Safely Prac-Impairment | | 2 | 11 | 6 | | 21 |
| | | Inability Safely Prac-Incapacitated | | 2 | 4 | 1 | | 7 |
| | | Licensure Eligibility | | | 2 | | | 2 |
| | | Neglect | | 2 | 2 | | 1 | 5 |
| | | Other | | 2 | 1 | 2 | | 5 |
| | | Reinstatement | | | 1 | | | 1 |
| | | Standard of Care-Med/Prescrip Related | | 1 | 1 | | | 2 |
| | | Standard of Care-Treatment Related | | 2 | | | 1 | 3 |
| | | Supervision-Neglect | | 4 | | | | 4 |
| | | Unlicensed Activity | | 4 | 2 | 1 | 1 | 2 |
| Nursing Total | | | 109 | 115 | 56 | 7 | 7 | 294 |
| Optometry | Optometrist | Cont'd Competency Req.-not met | | | | 2 | | 2 |
| | TPA Certified Optometrist | Advertising-deceptive/misleading | 1 | | | 1 | | 2 |
| | | Business Practices/Issues | 3 | | | 1 | | 4 |
| | | Cont'd Competency Req.-not met | 2 | | | 3 | | 5 |
| | | Fraud | 1 | | | | | 1 |
| | | Licensure Eligibility | 1 | | | | | 1 |
| | | Records Release | 1 | | | | | 1 |
| | | Standard of Care-Treatment Related | 2 | | | | | 2 |
| Optometry Total | | | 11 | | | 7 | | 18 |
| Pharmacy | Limited Use Pharmacy Technician | Cont'd Competency Req.-not met | | | | 1 | | 1 |
| | Non-resident Pharmacy | Action by Another Board/Entity | | 1 | 1 | | | 2 |

FINAL DISPOSITION

| BOARD | OCCUPATION | CATEGORY OF ALLEGATION | NO VIOLATION | VIOLATION | UNDETER- MINED | CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION | CONFIDENTIAL CONSENT AGREEMENT WITHOUT VIOLATION | TOTAL # of ALLEGATIONS |
|----------------------------|---------------------------------|---------------------------------------|--------------|-----------|-------------------|--|--|---------------------------|
| Pharmacy | Non-resident Pharmacy | Standard of Care-Med/Prescrip Related | 1 | | | | | 1 |
| | Pharmacist | Business Practices/Issues | 2 | 5 | | | | 7 |
| | | Compliance-To Board | | 3 | 1 | 1 | | 5 |
| | | Cont'd Competency Req.-not met | | 1 | | 3 | | 4 |
| | | Drug Related-Excessive Rx/Dispensing | | 2 | 1 | 1 | | 4 |
| | | Drug Related-Personal Use | | 1 | | | | 1 |
| | | Fraud | 2 | | | | | 2 |
| | | Inability Safely Prac-Incapacitated | | 1 | | | | 1 |
| | | Records/Inspections/Audits | | 3 | | | | 3 |
| | | Standard of Care-Med/Prescrip Related | 2 | 3 | 1 | 4 | | 10 |
| | | Unlicensed Activity | | 1 | 2 | 10 | | 13 |
| | Pharmacy | Business Practices/Issues | 3 | 1 | | | | 4 |
| | | Drug Related-Excessive Rx/Dispensing | 1 | | | | | 1 |
| | | Standard of Care-Med/Prescrip Related | 2 | | 1 | | | 3 |
| | Pharmacy Intern | Unlicensed Activity | | | 1 | 1 | | 2 |
| | Pharmacy Technician | Cont'd Competency Req.-not met | | | | 14 | | 14 |
| | | Criminal Activity/Conviction | | 1 | | | | 1 |
| | | Drug Related-Excessive Rx/Dispensing | | 1 | | | | 1 |
| | | Drug Related-Obtaining Drugs by Fraud | | 1 | 2 | | | 3 |
| | | Drug Related-Personal Use | | 1 | | | | 1 |
| | | Inability Safely Prac-Incapacitated | | | 1 | | | 1 |
| | | Misappropriation of Property | | 1 | | | | 1 |
| | | Standard of Care-Med/Prescrip Related | | | 1 | | | 1 |
| | | Unlicensed Activity | | | 1 | 6 | | 7 |
| Pharmacy Total | | | 13 | 27 | 13 | 41 | | 94 |
| Physical Therapy | Physical Therapist | Abandonment | 1 | | | | | 1 |
| | | Action by Another Board/Entity | | 1 | | | | 1 |
| | | Business Practices/Issues | 1 | | | | | 1 |
| | | Cont'd Competency Req.-not met | | | 1 | | | 1 |
| | | Licensure Eligibility | | 1 | | | | 1 |
| | | Standard of Care-Treatment Related | 2 | | | | | 2 |
| | | Supervision-Neglect | 1 | | | | | 1 |
| | Physical Therapist Assistant | Cont'd Competency Req.-not met | | | 1 | | | 1 |
| Physical Therapy Total | | | 5 | 2 | 2 | | | 9 |
| Psychology | Clinical Psychologist | Abuse | 1 | | | | | 1 |
| | | Business Practices/Issues | 2 | | 1 | | | 3 |
| | | Inability Safely Prac-Impairment | | | 1 | | | 1 |
| | | Licensure Eligibility | | | 1 | | | 1 |
| | | Reinstatement | | 1 | | | | 1 |
| | | Relationship-Inappropriate | | | 1 | | | 1 |
| | | Standard of Care-Treatment Related | 3 | | 1 | | | 4 |
| Psychology Total | | | 6 | 1 | 5 | | | 12 |
| Social Work | Licensed Clinical Social Worker | Business Practices/Issues | 1 | | | | | 1 |
| | | Cont'd Competency Req.-not met | | 4 | | | | 4 |
| | | Criminal Activity/Conviction | | | 1 | | | 1 |
| | | Fraud | | | 1 | | | 1 |
| | | Other | | | 1 | | | 1 |
| | | Relationship-Inappropriate | | 1 | | | | 1 |
| | | Standard of Care-Treatment Related | | | 2 | 1 | | 3 |
| | Licensed Social Worker | Action by Another Board/Entity | 1 | | | | | 1 |
| | | Cont'd Competency Req.-not met | | 1 | | | | 1 |
| Social Work Total | | | 2 | 6 | 5 | 1 | | 14 |
| Speech Pathology/Audiology | Audiologist | Compliance-To Board | | 1 | | | | 1 |

FINAL DISPOSITION

| | | | | | CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION | CONFIDENTIAL CONSENT AGREEMENT WITHOUT VIOLATION | TOTAL # of ALLEGATIONS | |
|----------------------------------|-----------------------|---------------------------------------|--------------|-----------|--|--|---------------------------|------|
| BOARD | OCCUPATION | CATEGORY OF ALLEGATION | NO VIOLATION | VIOLATION | UNDETER- MINED | | | |
| Speech Pathology/Audiology Total | | | 1 | | | | 1 | |
| Veterinary Medicine | Veterinarian | Business Practices/Issues | 3 | | | | 3 | |
| | | Compliance-To Board | 2 | 1 | | | 3 | |
| | | Cont'd Competency Req.-not met | 4 | 1 | | | 5 | |
| | | Drug Related- Security | 2 | 1 | | | 3 | |
| | | Drug Related-Other | 1 | 2 | | | 3 | |
| | | Fraud | 1 | | | | 1 | |
| | | Other | 2 | | | | 2 | |
| | | Records/Inspections/Audits | 1 | 3 | | | 4 | |
| | | Standard of Care-Diagnosis Related | 3 | | | | 3 | |
| | | Standard of Care-Med/Prescrip Related | 3 | | | | 3 | |
| | | Standard of Care-Surgery Related | 1 | | | | 1 | |
| | | Standard of Care-Treatment Related | 21 | 6 | 1 | 1 | 29 | |
| | | Supervision-Neglect | 1 | | | | 1 | |
| | | Unlicensed Activity | 1 | 1 | 1 | | 3 | |
| | Veterinary Technician | Cont'd Competency Req.-not met | 1 | | | | 1 | |
| | | Standard of Care-Treatment Related | 1 | | | | 1 | |
| Veterinary Medicine Total | | | 48 | 15 | 2 | 1 | 66 | |
| Grand Total | | | 471 | 311 | 311 | 144 | 10 | 1247 |